



Thermal Vacuum Testing and Feasibility Investigations for VO₂-Based Variable Emittance Coatings

Sydney Taylor, Ryan McBurney, and Liping Wang
Arizona State University

Presented By
Sydney Taylor



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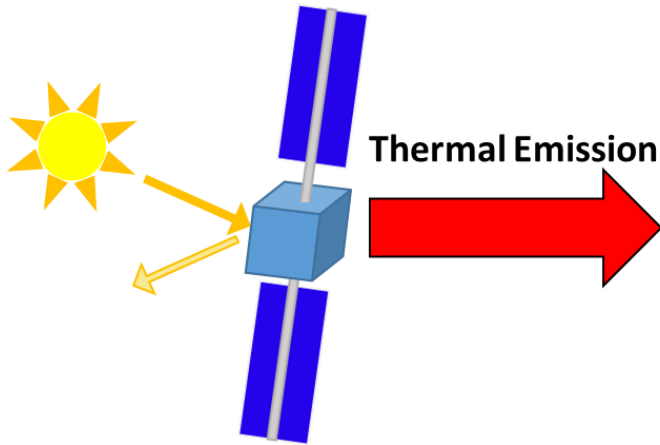


Outline

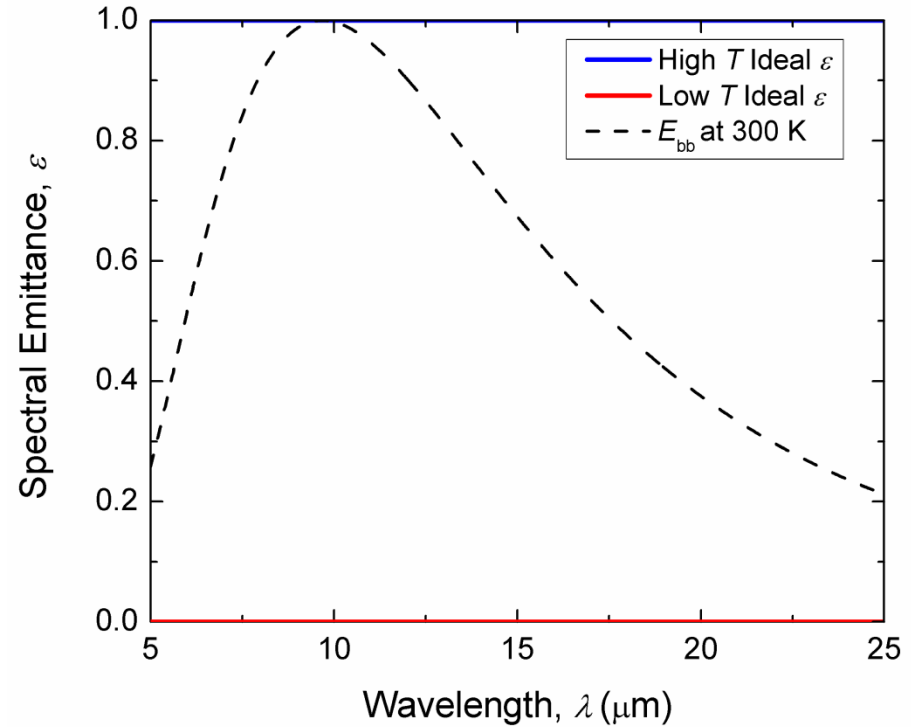
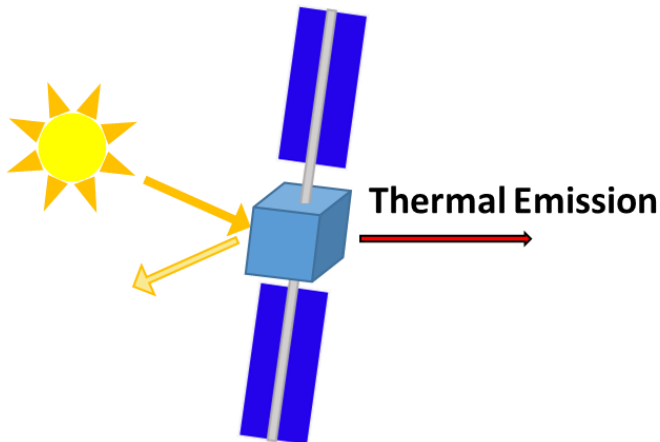


- Background and Motivation
 - Thermochromic variable emissivity passive thermal control
 - Characteristics of vanadium dioxide (VO_2)
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High Temperature (Cooling)



Low Temperature (Heating)



Ideal Broadband Emittance

- High Temp: $\epsilon \approx 1$
- Low Temp: $\epsilon \approx 0$

- At low temperatures, VO₂ is an **insulator** whose behavior can be described by the Lorentz oscillator model:

$$\epsilon_d(\omega) = \epsilon_\infty + \sum_{j=1}^N s_j \frac{\omega_j^2}{\omega_j^2 - i\gamma_j\omega - \omega^2}$$

- At high temperatures, VO₂ is a **metal** whose behavior can be described by the dispersion model:

$$\epsilon_m(\omega) = \epsilon_\infty + \frac{-\omega_p^2 \epsilon_\infty}{\omega^2 - i\omega\omega_c} + \sum_{j=1}^N s_j \frac{\omega_j^2}{\omega_j^2 - i\gamma_j\omega - \omega^2}$$

Taylor et al., *Thin Solid Films*, **682**, 29-36 (2019)

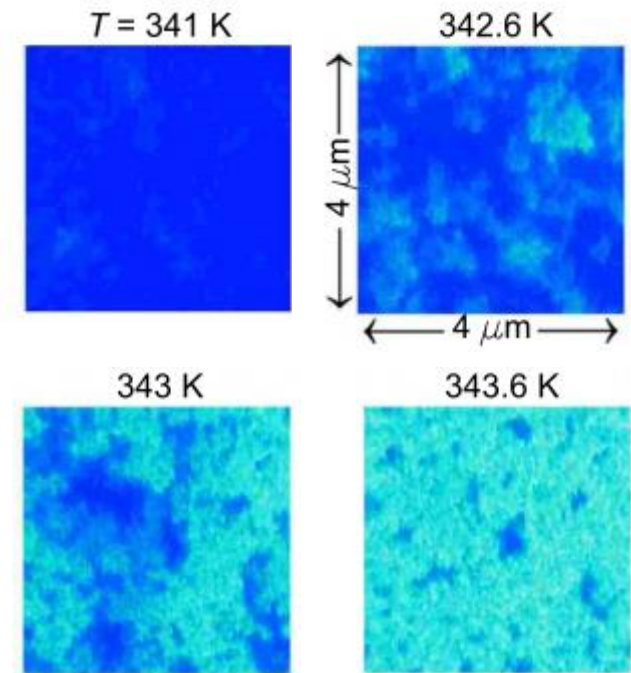
During its transition, VO₂ is considered as an **effective medium**

Bruggeman EMT

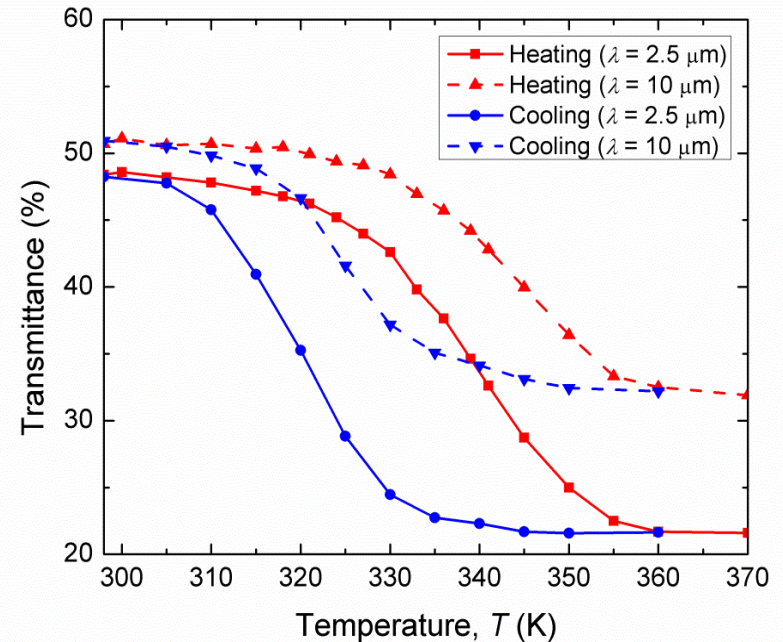
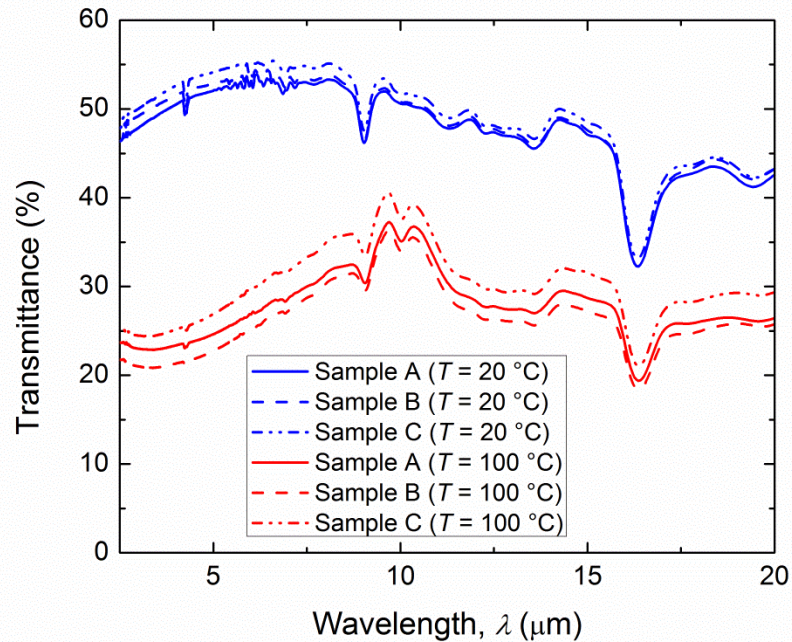
$$f \frac{\epsilon_m - \epsilon_{eff}}{\epsilon_{eff} + q(\epsilon_m - \epsilon_{eff})} + (1 - f) \frac{\epsilon_d - \epsilon_{eff}}{\epsilon_{eff} + q(\epsilon_d - \epsilon_{eff})} = 0$$

where:

- ϵ_{eff} effective dielectric constant of composites
- ϵ_d dielectric function for insulating constituent
- ϵ_m dielectric function for metallic constituent
- f filling factor
- q depolarization factor, q_o from table, q_E from Qazilbash et al.



Qazilbash et al., *Phys. Rev. B*, **79**, 075107 (2013)



- Films prepared via furnace oxidation
- Phase transition at 68°C upon heating and 48°C upon cooling
- Hysteresis of 20°C between heating and cooling

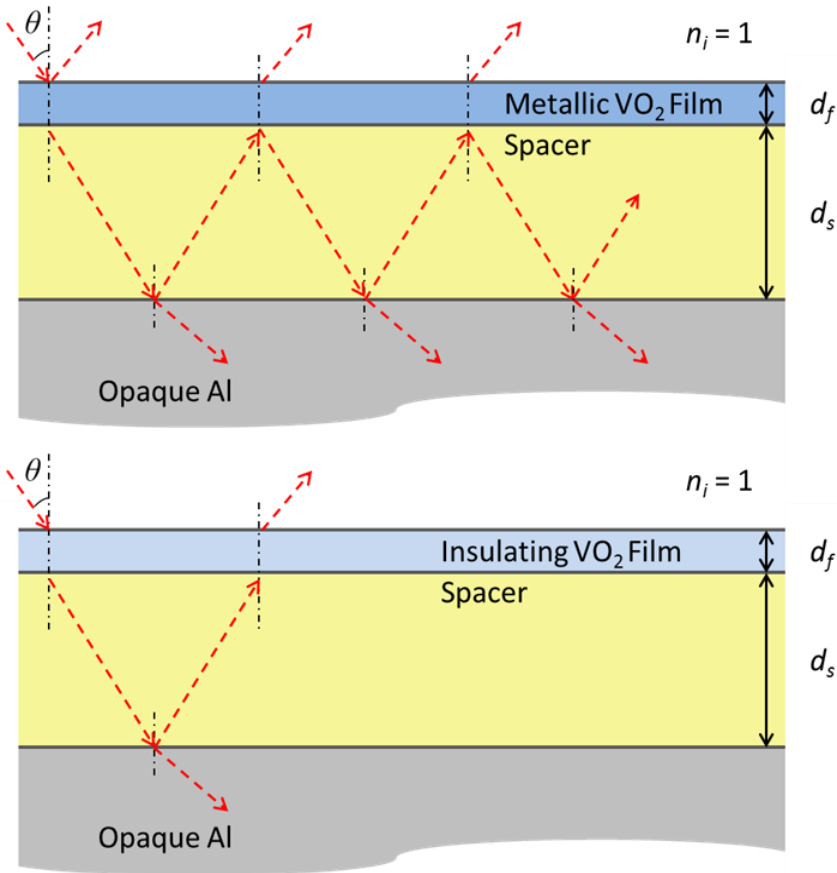
Taylor et al., *Thin Solid Films*, **682**, 29-36 (2019)



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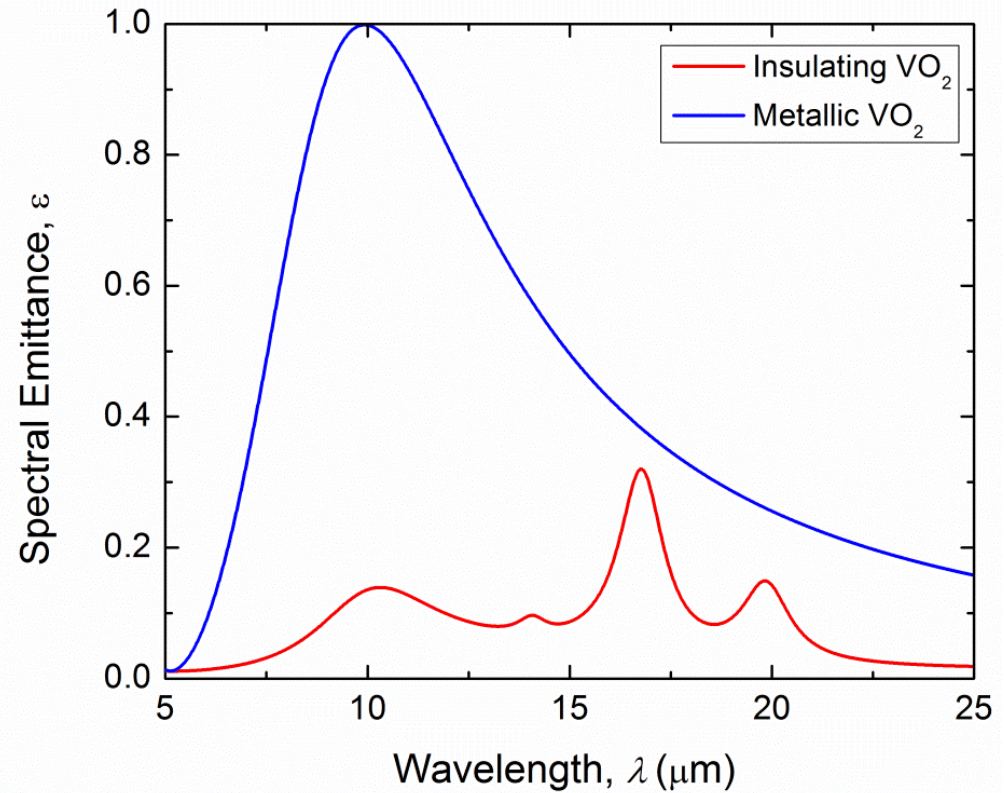


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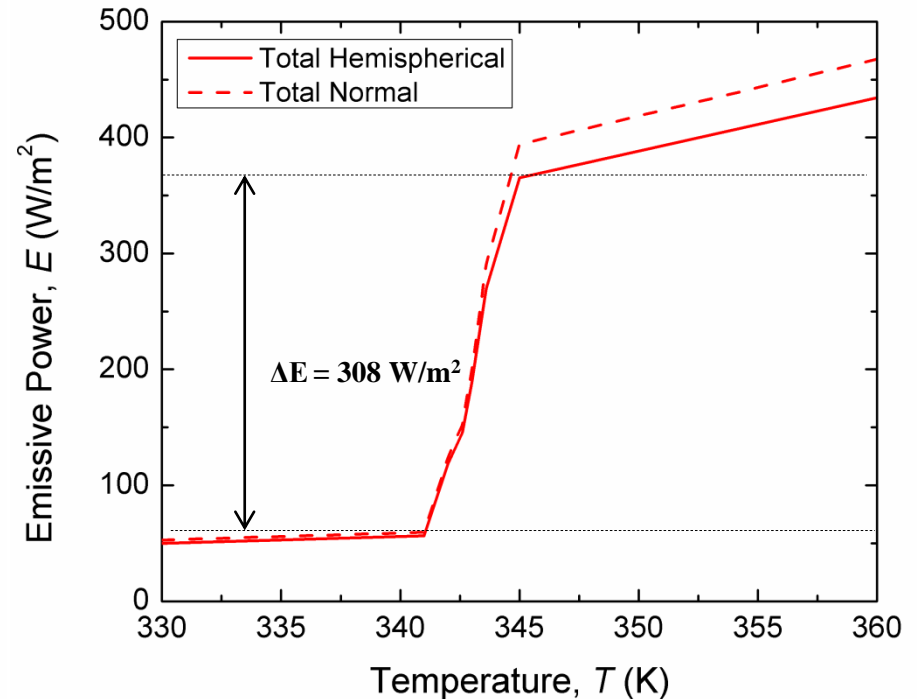
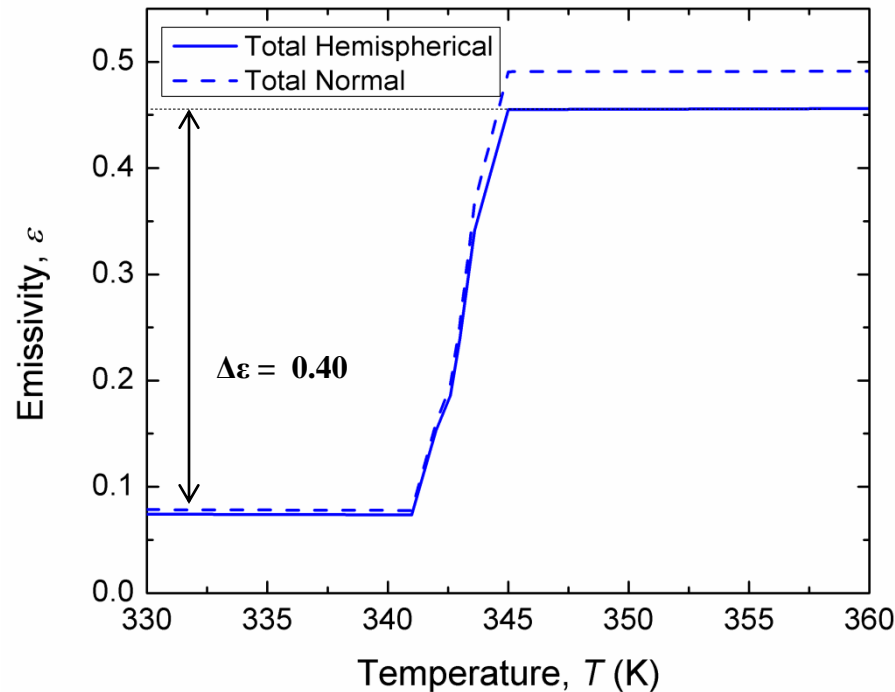


$$d_f = 25 \text{ nm}$$

$$d_s = 730 \text{ nm}$$



Taylor et al., *J. Quant. Spectrosc. Radiat. Transfer*, **197**, 76-83 (2017)



Total Hemispherical Emissivity:

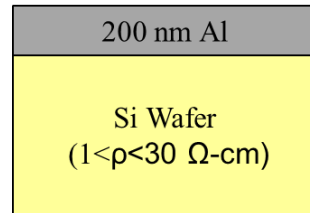
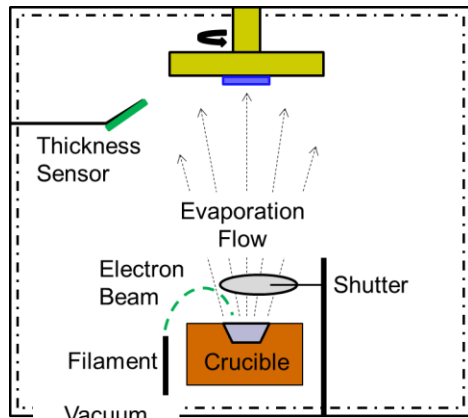
$$\varepsilon = \frac{2 \int_{0.3\mu\text{m}}^{40\mu\text{m}} E_{bb} \int_0^{\pi/2} \varepsilon'_\lambda(T, \lambda, \theta) \cos \theta \sin \theta d\theta d\lambda}{\sigma T^4}$$

Total Hemispherical Emissive Power:

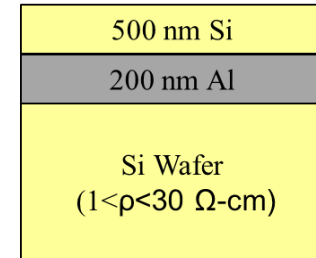
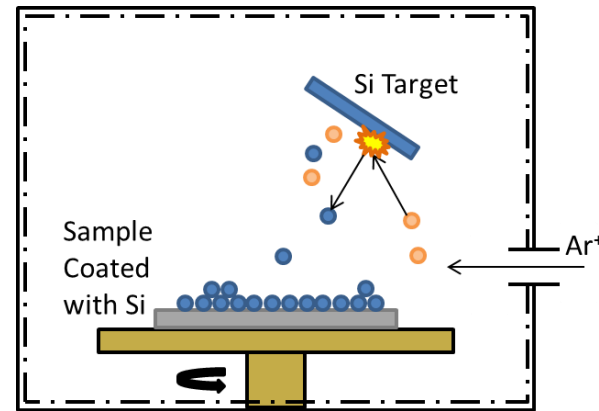
$$E_{\text{hem}} = \varepsilon \sigma T^4$$

Taylor et al., J. Quant. Spectrosc. Radiat. Transfer, 197, 76-83 (2017)

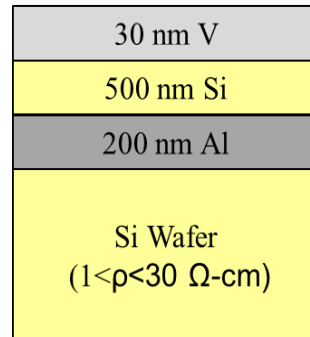
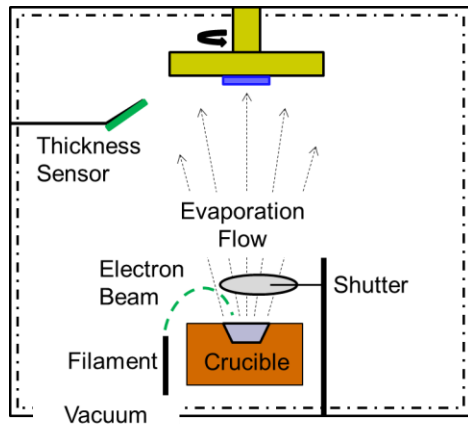
① 200 nm of Al with Electron Beam Evaporation (EBE)



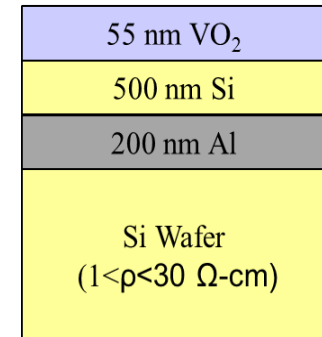
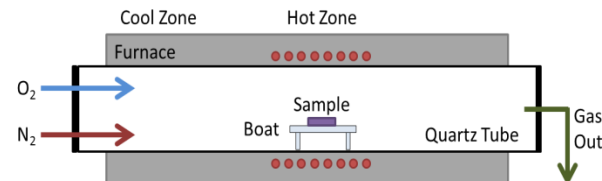
② 500 nm of Si with RF Magnetron Sputtering



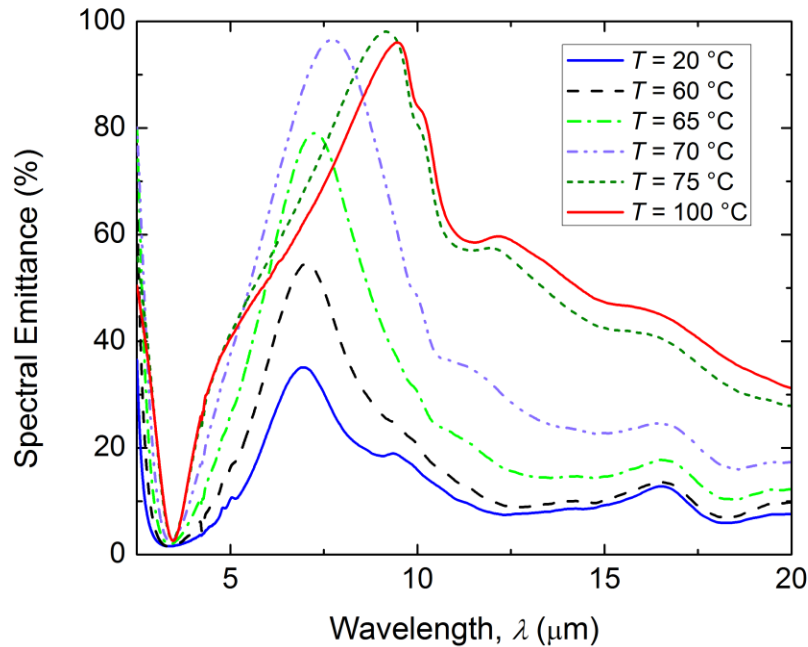
③ 30 nm of V with EBE



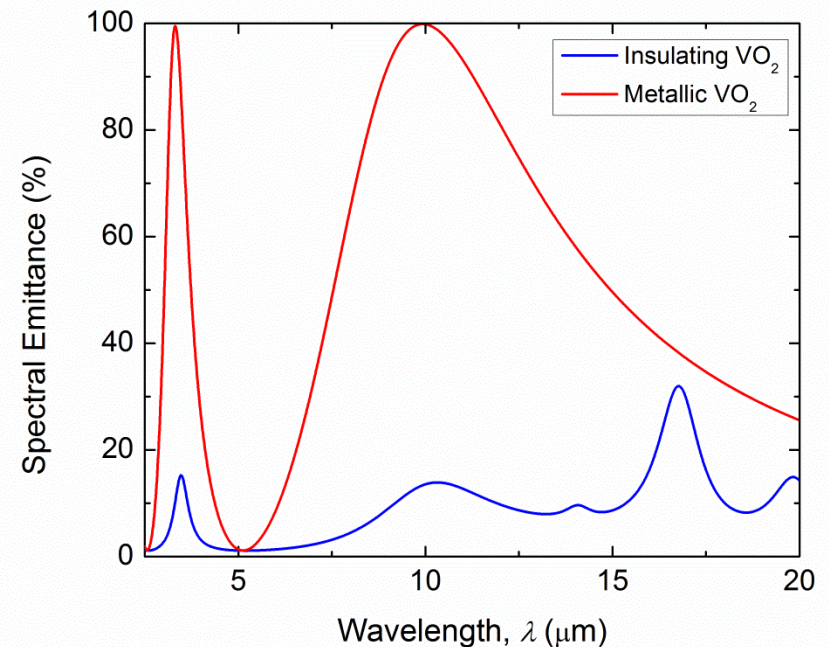
④ Oxidize in Tube Furnace



Fabricated FP Emitter

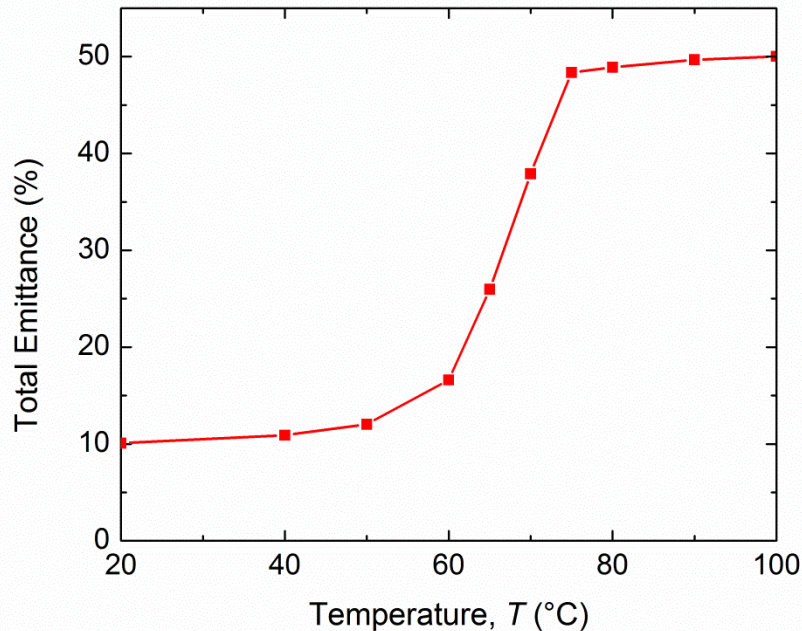


Theoretical FP Emitter

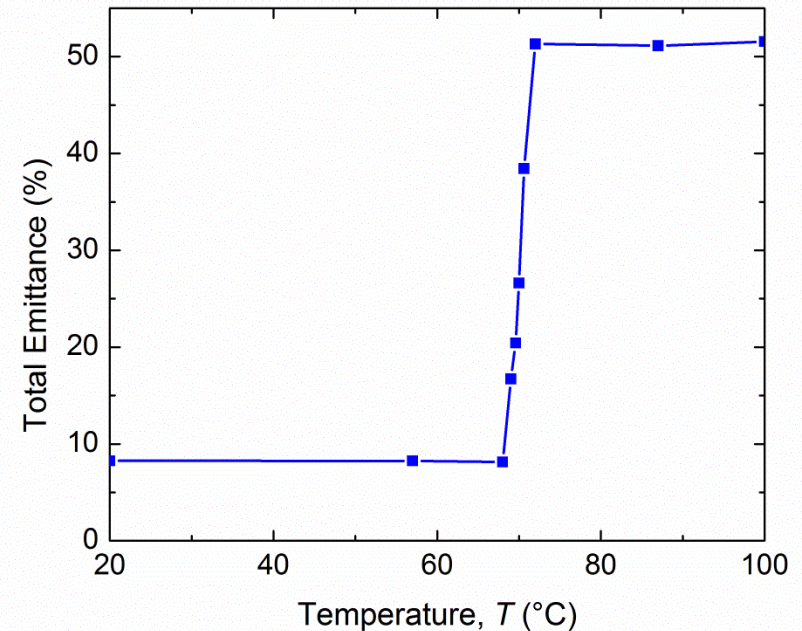


- Fabricated two FP emitter samples with similar spectral emittance
- Fabricated samples exhibit the expected behavior:
 - High emittance at high temperature (metallic VO_2)
 - Low emittance at low temperature (insulating VO_2)
 - Emittance peak around 10 μm

Fabricated FP Emitter



Theoretical FP Emitter



- Fabricated emitter achieves a total emittance difference of 40%
- Transition regime for fabricated emitter is wider than the designed emitter due to the crystal orientation of our fabricated VO_2 (polycrystalline versus monocrystalline)



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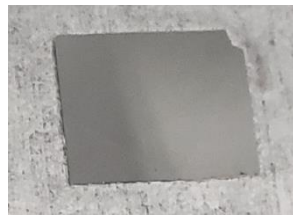
Samples Tested

Black (Acktar)*



$$\epsilon_{IR} \approx 100\%$$

Aluminum (200 nm on Si)



$$\epsilon_{IR} = 3\%$$

Heavily Doped Silicon

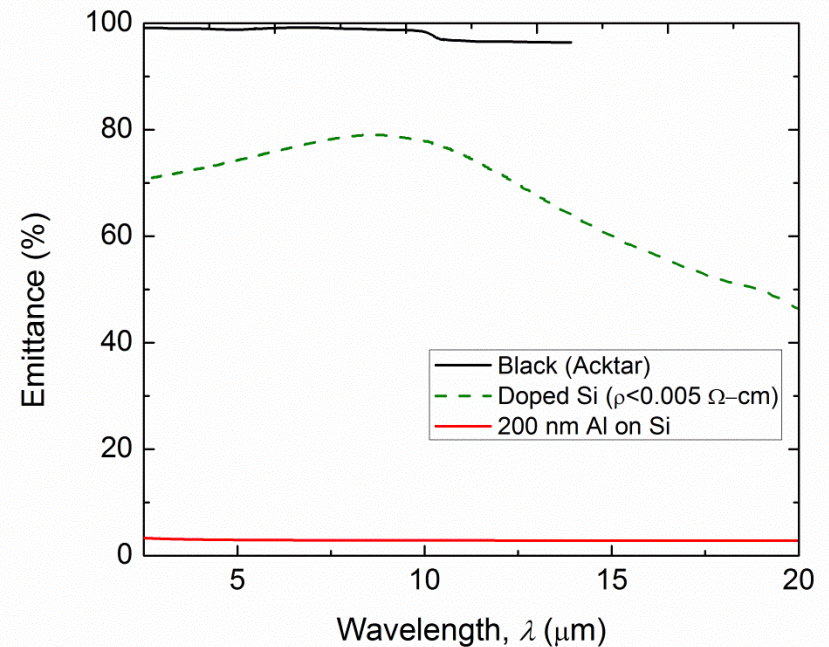


$$\epsilon_{IR} \approx 70\%$$

VO₂ FP Emitter

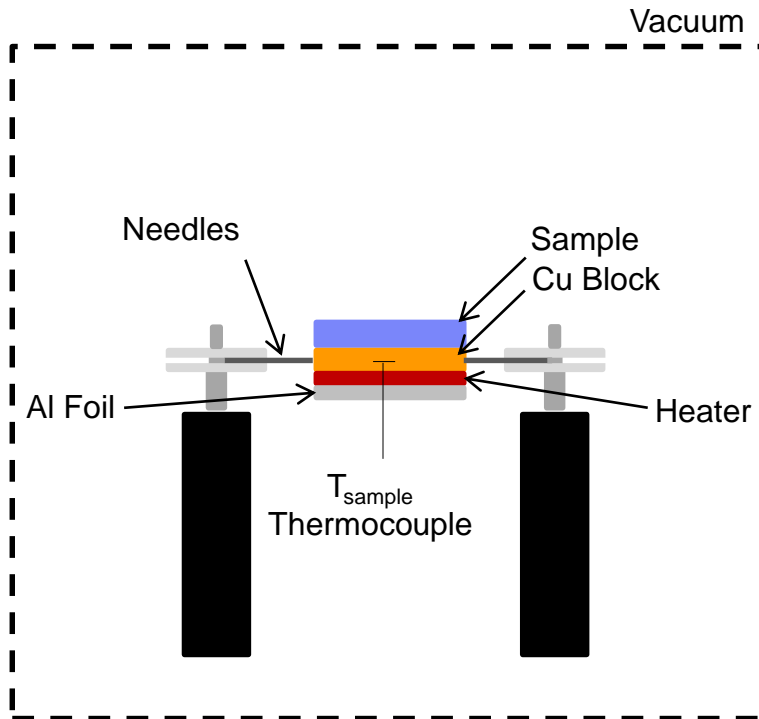


$$\epsilon_{IR} = \text{Varies with } T$$

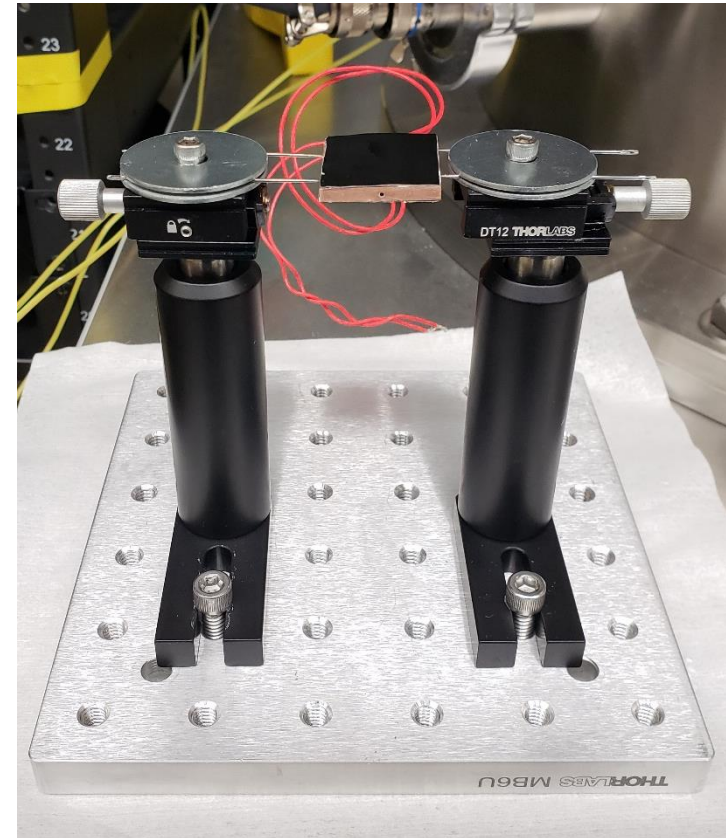


*Acktar properties from:
<https://www.acktar.com/product/metal-velvet-2/>

Schematic



Experimental Set-up



Four Samples:

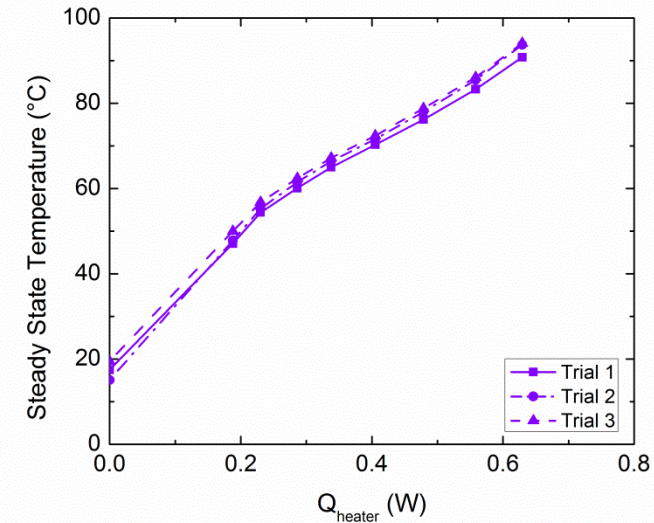
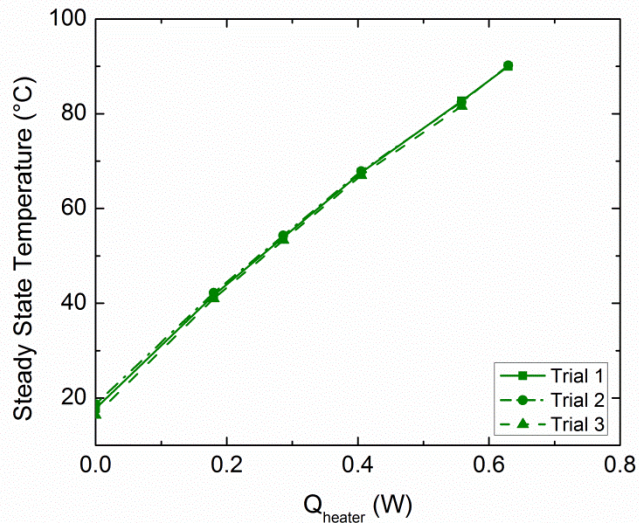
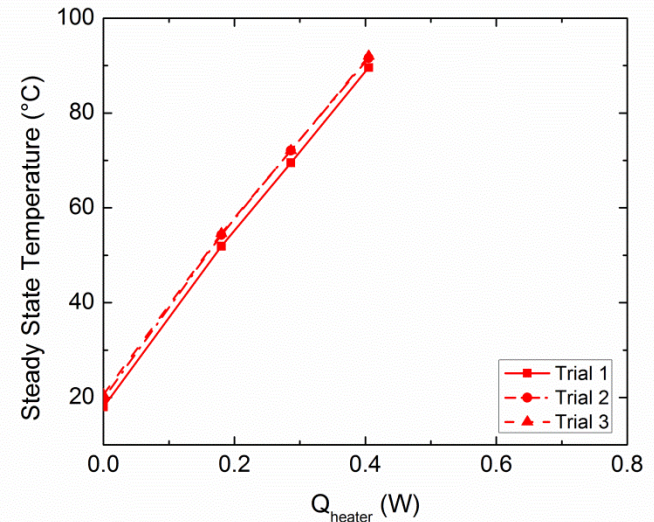
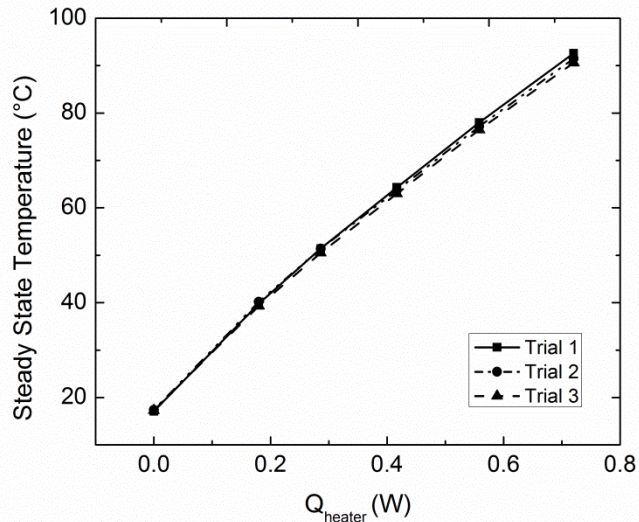
Black (Acktar), $\epsilon \approx 100\%$

Aluminum, $\epsilon = 3\%$

Heavily Doped Silicon, $\epsilon \approx 70\%$

FP Emitter, $\epsilon = \text{Varies with } T$

Thermal Vacuum Experiment



For all 4 samples, the results from all 3 trials are close

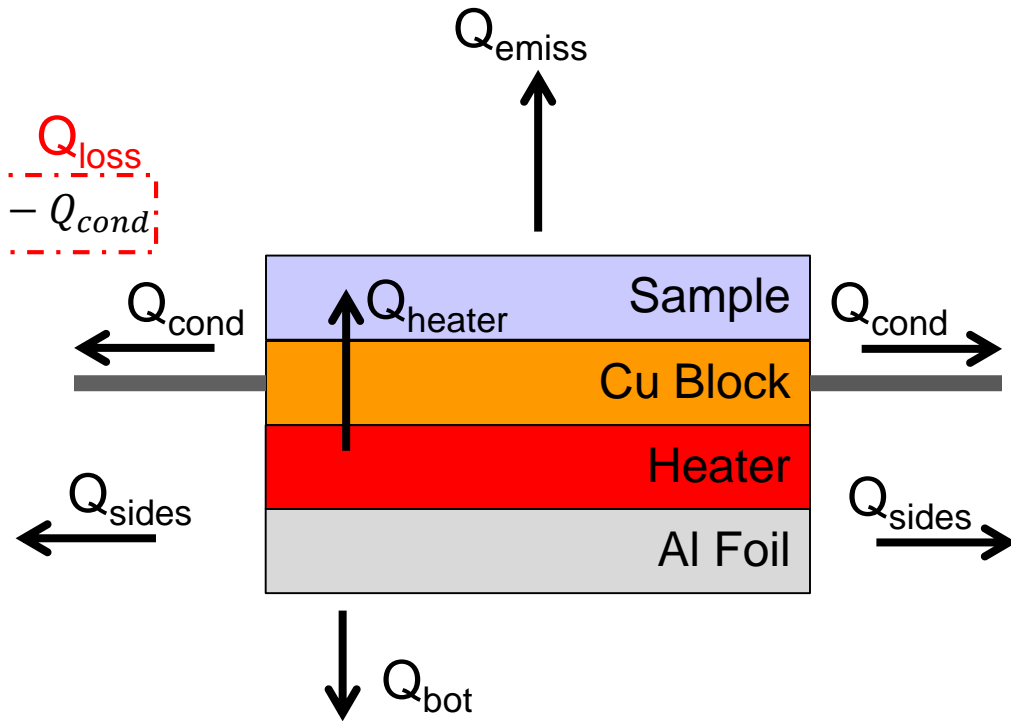
Theoretical Modeling

$$\sum Q = 0 = Q_{heater} - Q_{emiss} - \boxed{Q_{sides} - Q_{bot} - Q_{cond}}^{Q_{loss}}$$

$$Q_{heater} = Q_{emiss} + Q_{sides} + Q_{bot} + Q_{cond}$$

$$Q_{heater} = P_{heater} = I^2 R$$

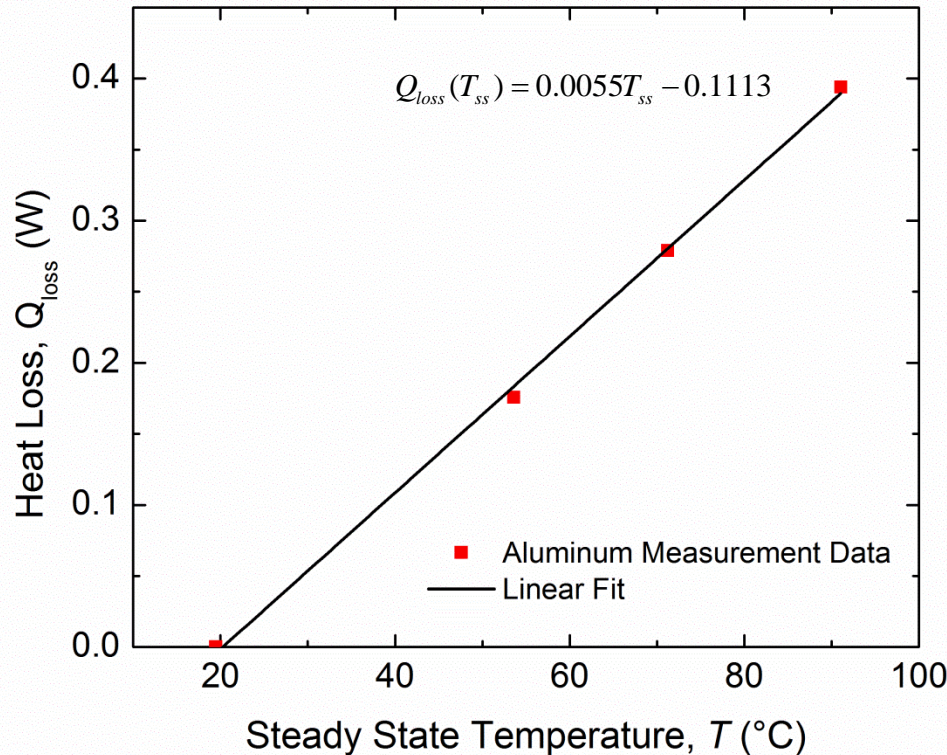
$$Q_{emiss} = \epsilon \sigma (T_{sample}^4 - T_{wall}^4)$$



→ $Q_{loss}(T)$ is determined from the aluminum measurements:

$$\epsilon_{Al} = 3\%$$

$$Q_{loss}(T) = Q_{heater} - Q_{emiss}$$



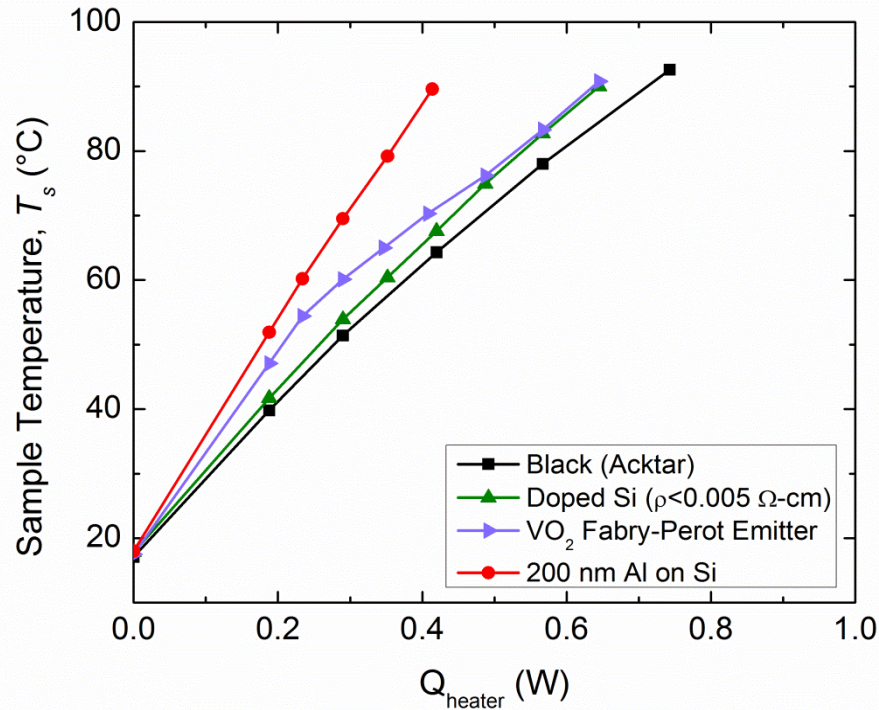
$$Q_{\text{heater}} = P_{\text{heater}} = I^2 R$$

$$Q_{\text{emiss}} = \epsilon \sigma (T_{\text{sample}}^4 - T_{\text{wall}}^4)$$

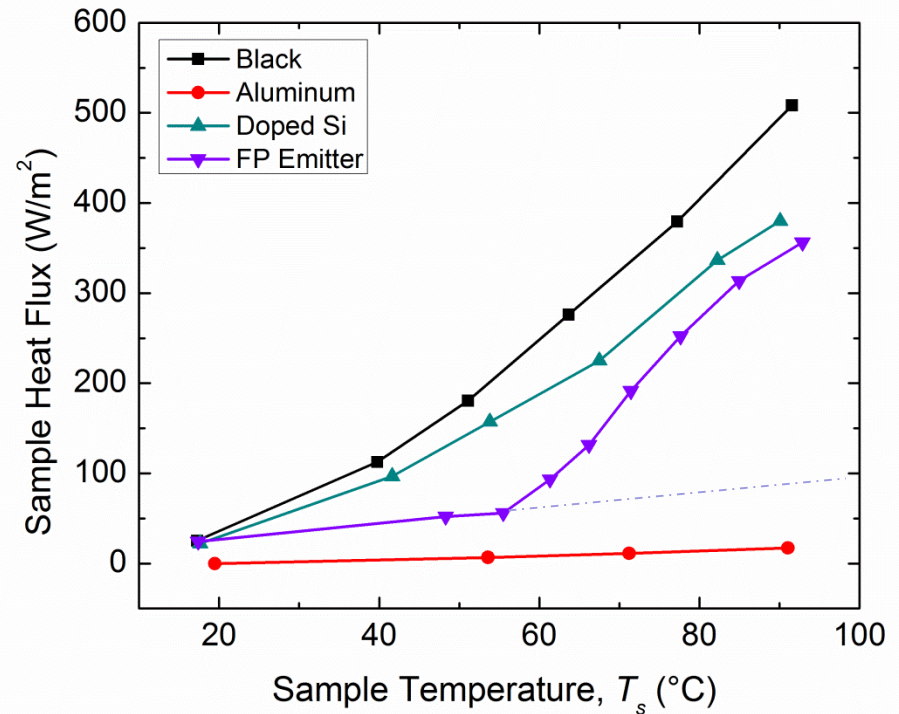
$$Q_{\text{loss}}(T) = Q_{\text{heater}} - Q_{\text{emiss}}$$

- Determined the heat loss as a function of steady state temperature
- Losses fit to aluminum since majority of Q_{heater} would be lost through conduction and back/side radiation losses due to aluminum's low ϵ_{IR}

Collected Data



Post-Processed Data



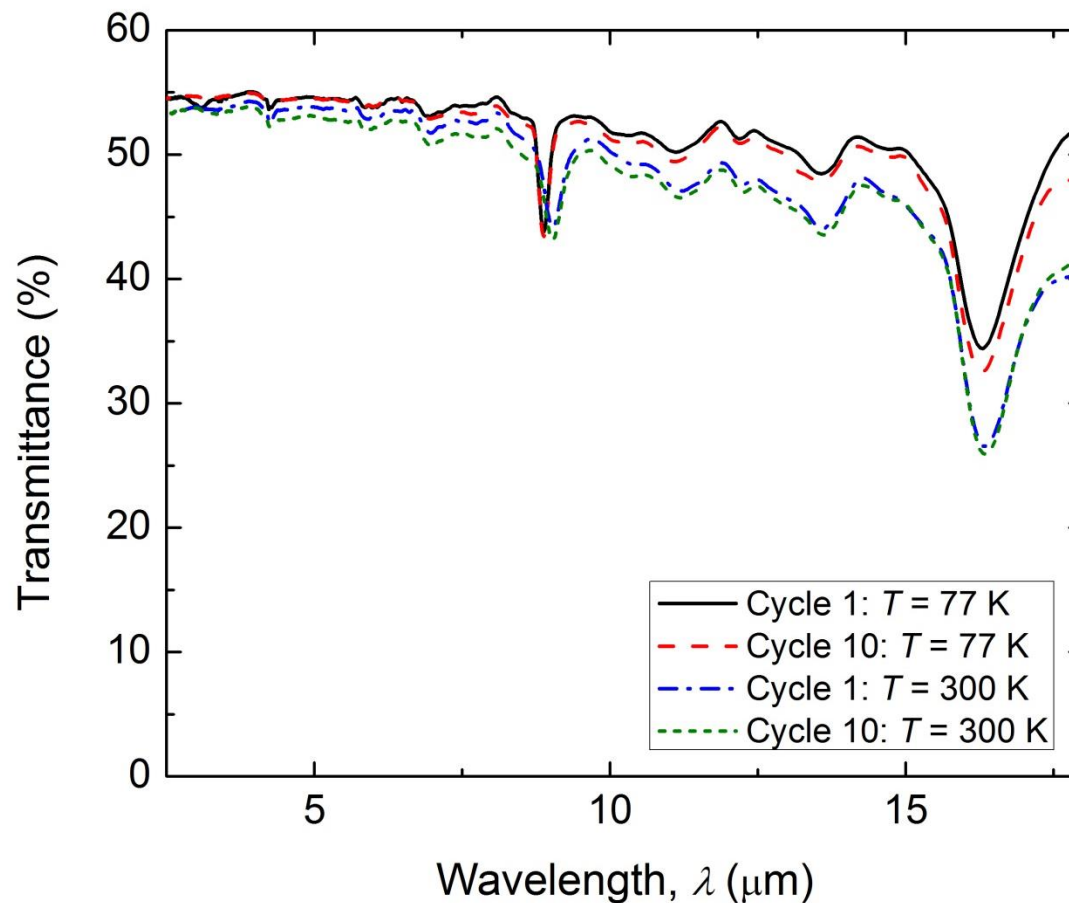
Clear change in emitted heat flux upon VO₂ phase transition



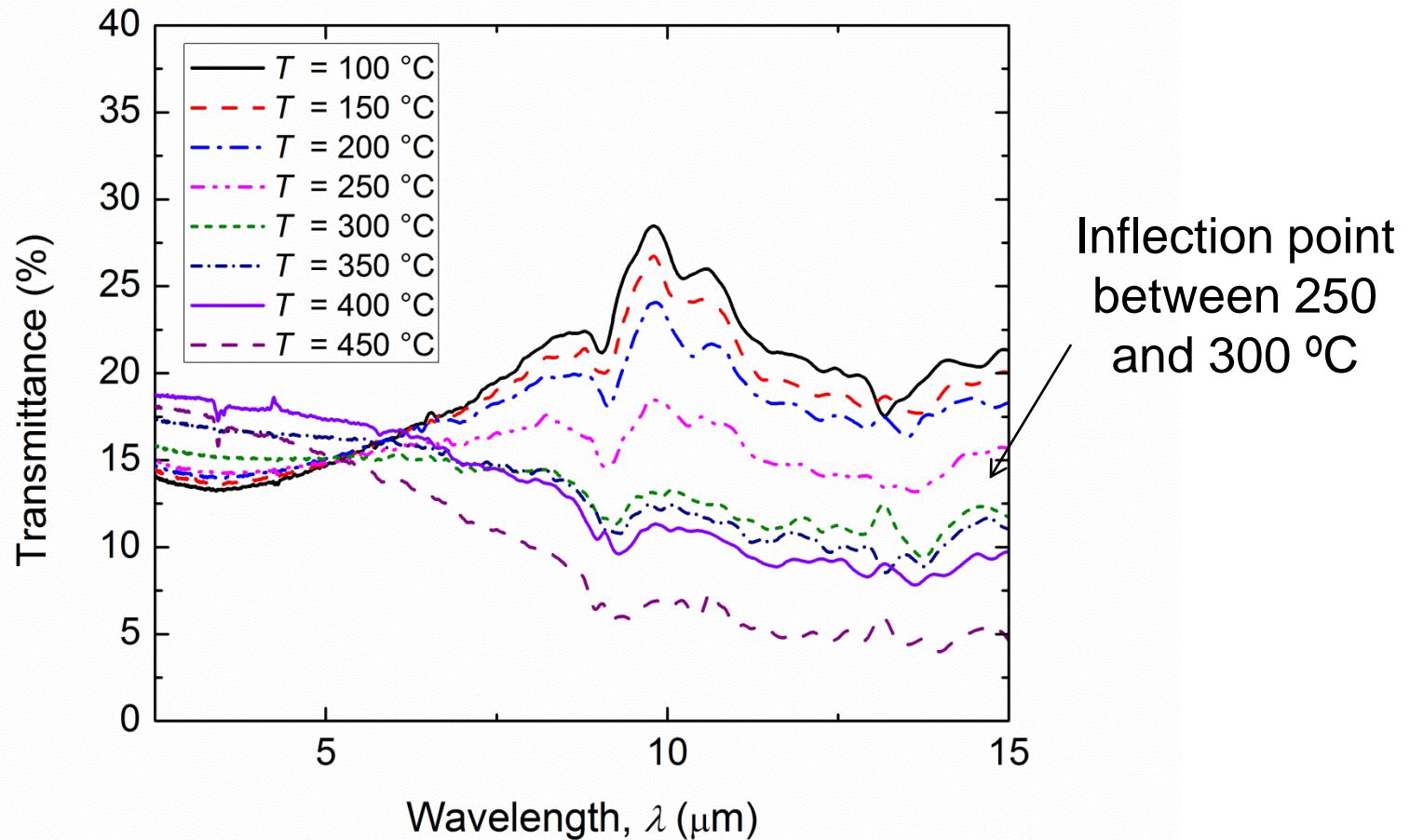
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- Sample does not appear to be susceptible to cryogenic temperatures
- Small increase in IR transmittance at cryo temperatures may be related to trace amount of $\text{VO}_2(\text{B})$ in sample \rightarrow observable with Raman



- Sample can withstand temperatures up to 250 °C (tentatively)
- Above 300 °C, spectra is similar to metallic vanadium
- Follow-up cycling experiment required

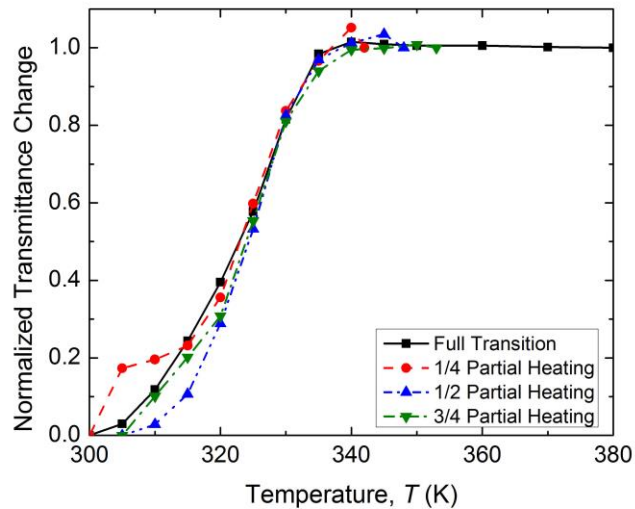
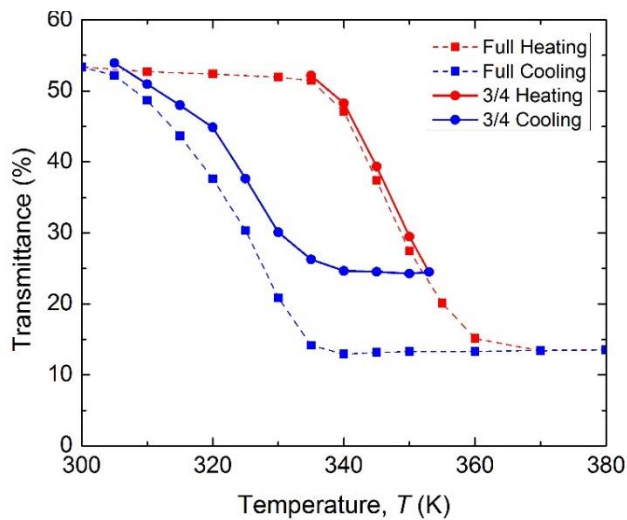
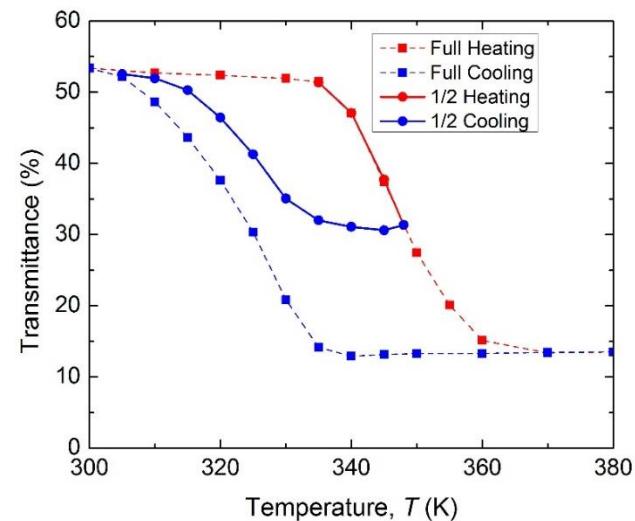
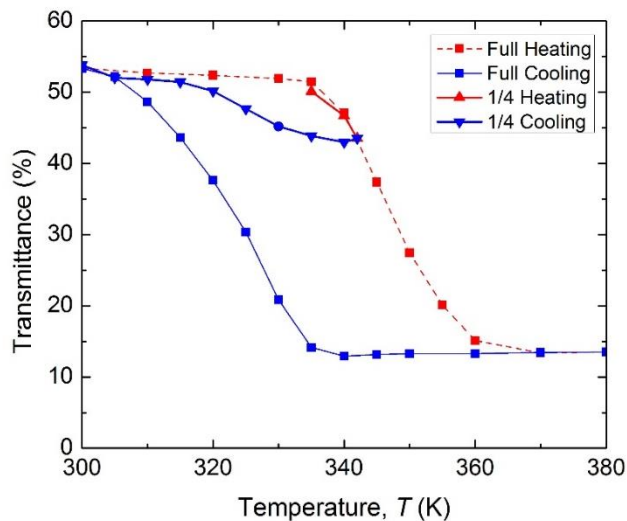


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Effect of Partial Heating



What happens if VO_2 is only heated partway in its transition and then cooled?



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- Conclusion

- Several FP emitter samples are fabricated via physical vapor deposition techniques and furnace oxidation
- The temperature-dependent optical properties of two fabricated FP emitter samples are measured
- The variable heat flux of one FP emitter is experimentally demonstrated via a thermal vacuum experiment
- The fabricated VO_2 thin films are shown to be insensitive to cryogenic temperatures and cryogenic thermal cycling
- The tentative maximum temperature for VO_2 films fabricated via our furnace oxidation method is 250 °C
- When partially heated and then cooled, the VO_2 transitions along an intermediate path which can be described by an effective medium theory

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